


TRANSFRONTIER MOVEMENT OF WASTE - Notification Form

OECD

1. Notifier/exporter (name, address) and registration No where applicable: Ultra USA Inc. 3435 Wilshire Blvd. #1195 Los Angeles, CA 90010 Tel.: 1-213-605-1989 Fax: 1-213-605-5906 Contact person: Hyun Ju Kim		3. Notification concerning (1): No <u>3329/8E/11</u> A (i) Single Movement <input type="checkbox"/> B (i) Disposal (no recovery) <input type="checkbox"/> (ii) General notification <input checked="" type="checkbox"/> (ii) Recovery operation <input checked="" type="checkbox"/> (multiple movements) C* Pre-authorized recovery facility <input checked="" type="checkbox"/> yes <input type="checkbox"/> no * (Only to be completed if B (ii) applies)							
2. Consignee (name, address) and registration No where applicable: EG Corporation 820, Shin pyeong, Chu Bu, Geum San, Chung Nam, 312-943, Korea Tel.: 82-41-750-7777 Fax: 82-41-750-7739 Contact person: Ju Hwan, Kim		4. Total intended number of shipments 10-15 5. Total intended quantity 10,000,000 Kg litres							
7. Intended carrier(s)* (name, address) and registration No where applicable: Gateway Logistics Group Inc. 15201 Viscount, Houston, TX 77032 Tel.: 1-281-443-7447 Fax: 1-281-443-3051 Contact person: Brian Richard *(attach list more than one) See Attachment B		6. First shipment not before: Sep 2011 Departure of last shipment not after: Sep 2012 8. Disposal/recovery facility (name, location, address): EG Metal Corporation 836 Hwangsung-Dong Namgu Ulsan, 680-160 Korea Tel.: 82-52-267-1000 Fax: 82-52-269-9900 Registration No where applicable: 610-86-02148 and limit of validity: Contact person: Nak il, Sung							
10. Waste generator/producer (name, address): Valero Energy Corporation One Valero way, San Antonio, TX 78249-1616 Tel.: 1-210-345-3371 Fax: 1-210-345-4848 Contact person: Mike Schmitz Process and location of generation: * See Attachment A *(attach details if necessary)		9. Code No of disposal/recovery operation (2): R4, R8 and technology employed: * Hydrometallurgical process *(attach details if necessary)							
13. Name and chemical composition of the waste: See Attachment C Spent Catalyst contains : Al2O3, V, Mo, Co, Ni, Cr, Zn, W, S		11. Mode(s) of transport (3): R, T, S 12. Packaging 1, 2, 5, 9 (flo-bin, type(s) (4): cougar sack)							
15. Waste identification code - in country of export/dispatch: US - in country of import/destination: KR International Waste Identification Code (IWIC): Q6/R4/S11/C2+4/HO/A220 European waste catalogue (EWC): Other (specify):		14. Physical characteristics (2): solid 17. Y number 18. H number: H4 2							
16. OECD classification (1): amber <input type="checkbox"/> red <input type="checkbox"/> and number: Other GC053 x <input type="checkbox"/> *(attach details) B1120		19. UN identification number: 3190/3191 and proper shipping name: spent catalyst UN Class (4):							
20. Concerned countries (2), code numbers of competent authorities (where applicable), and specific points of entry and exit: <table border="1"> <thead> <tr> <th>Country of export/dispatch</th> <th>Transit countries</th> <th>Country of import/destination</th> </tr> </thead> <tbody> <tr> <td>US</td> <td></td> <td>KR</td> </tr> </tbody> </table>				Country of export/dispatch	Transit countries	Country of import/destination	US		KR
Country of export/dispatch	Transit countries	Country of import/destination							
US		KR							
21. Customs offices of entry and/or departure (European-Community): Entry Departure:		22. Number of annexes attached 23. Notifier/exporter's declaration: I certify that the above information is complete and correct to the best of my knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantees are or shall be in force covering the transfrontier movement. Name: Ultra USA Inc. Signature:  Date: Aug 17, 2011							
FOR USE BY COMPETENT AUTHORITY									
24. TO BE COMPLETED BY COMPETENT AUTHORITY OF COUNTRY OF IMPORT/DESTINATION Notification received Acknowledgment sent on: on: Name of competent authority, stamp and or signature		25. CONSENT* TO THE MOVEMENT PROVIDED BY COMPETENT AUTHORITY of: (name of country): on: Name of competent authority, stamp and or signature Consent expires on: Specific conditions (1) <input type="checkbox"/> no <input type="checkbox"/> yes, see block 26 overleaf *(not required for amber wastes under OECD Decision)							

List of abbreviations used in the notification form

DISPOSAL /RECOVERY OPERATIONS (Block 9)

DISPOSAL (NO RECOVERY)

- D1 Deposit into or onto Land, (e.g., Landfill, etc.)
D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
D3 Deep Injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
D5 Specially engineered landfill, (e.g., placement onto lined discrete cells which are capped and isolated from one another and the environment, etc.)
D6 Release into water body except seas/oceans
D7 Release into seas/oceans including sea-bed insertion
D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations number D1 to D12
D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any operations numbered D1 to D12, (e.g., evaporation, drying, calcination etc.)
D10 Incineration on land
D11 Incineration at sea
D12 Permanent Storage, (e.g., emplacement in containers in a mine, etc.)
D13 Blending or mixing prior to submission to any of the operations numbered D1 to D12
D14 Repackaging prior to submission to any of the operations number D1 to D12.
D15 Storage pending any of the operations D1 to D12.

RECOVERY OPERATIONS

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy
R2 Solvent reclamation/regeneration
R3 Recycling/reclamation of organic substances which are not used as solvents
R4 Recycling/reclamation of metals and metal compounds
R5 Recycling/reclamation of other inorganic materials
R6 Regeneration of acid or bases
R7 Recovery of components used for pollution abatement
R8 Recovery of components from catalysts
R9 Used oil re-refining or other reuses of previously used oil
R10 Land treatment resulting in benefit to agricultural or ecological improvement
R11 Uses of residual material obtained from any of the operations numbered R 1 to R10
R12 Exchange of wastes for submission to any of the operations numbered R1 to R11
R13 Accumulation of material intended for any operations numbered R1 to R12

NOTE: Disposal ("D") operations are not relevant to the OECD Control System

MODES OF TRANSPORT (Block 11)

R = Road

T = Train

S = Sea

A = Air

W = Inland Waterways

PACKAGING TYPES (Block 12)

1. Drum
2. Wooden Barrel
3. Jerrycan
4. Box
5. Bag
6. Composite Packaging
7. Pressure receptacle
8. Bulk
9. Other (Specify)

PHYSICAL CHARACTERISTICS (Block 14)

- | | |
|-------------------|--------------------|
| 1. Powdery/powder | 5. Liquid |
| 2. Solid | 6. Gaseous |
| 3. Viscous/paste | 7. Other (specify) |
| 4. Sludgy | |

H NUMBER AND UN CLASS (Blocks 18 and 19)

UN Class H Number Designation

- | | | |
|-----|------|--|
| 1 | H1 | Explosive |
| 3 | H3 | Inflammable liquids |
| 4.1 | H4.1 | Inflammable solids |
| 4.2 | H4.2 | Substances of wastes liable to spontaneous combustion |
| 4.3 | H4.3 | Substances or waste which, in contact with water, emit inflammable gases |
| 5.1 | H5.1 | Oxidising |
| 5.2 | H5.2 | Organic peroxides |
| 6.1 | H6.2 | Poisonous (acute) |
| 6.2 | H6.2 | Infectious substances |
| 8 | H8 | Corrosives |
| 9 | H10 | Liberation of toxic gasses in contact with air or water |
| 9 | H11 | Toxic (delayed or chronic) |
| 9 | H12 | Ecotoxic |
| 9 | H13 | Capable by means, after disposal, of yielding another material, e.g. leachate, which possesses any of the characteristics listed above |

OECD COUNTRY CODES (Block 20)

Australia:	AU	Finland:	FI	Ireland:	IE	Netherlands:	NL	Sweden:	SE
Austria:	AT	France:	FR	Italy:	IT	New Zealand:	NZ	Switzerland:	CH
Belgium:	BE	Germany:	DE	Japan:	JP	Norway:	NO	Turkey:	TR
Canada:	CA	Greece:	GR	Luxembourg:	LU	Portugal:	PT	United Kingdom:	GB
Denmark:	DK	Iceland:	IS	Mexico:	MX	Spain:	ES	United States:	US

For the other countries ISO Standard 3166 abbreviations shall be used

26. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT

THE INTERNATIONAL WASTE IDENTIFICATION CODE (IWIC - BLOCK 15), THE OECD CLASSIFICATION LISTS OF WASTES DESTINED FOR RECOVERY OPERATIONS (AMBER, RED - BLOCK 16) AND THE CATEGORIES OF WASTE SUBJECT TO CONTROL (TABLE Y - BLOCK 17), AS WELL AS MORE DETAILED INSTRUCTIONS CAN BE FOUND IN A GUIDANCE MANUAL AVAILABLE FROM OECD

TRANSFRONTIER MOVEMENT OF WASTE - Movement/Tracking Form

OECD

1. Notifier/exporter (name, address) and registration N° where applicable: Ultra USA Inc. 3435 Wilshire Blvd. #1195 Los Angeles, CA 90010 Tel.: 1-213-605-1989 Fax: 1-213-605-5906 Contact person: Hyun Ju Kim		3. Corresponding to Notification N°	4. Serial number of shipment:
2. Consignee (name, address) and registration N° where applicable: EG Corporation 820, Shin Pyung, Chu Bu, Guem San, Chung Nam, 312-943, Korea Tel.: 82-41-750-7777 Fax: 82-41-750-7739 Contact person: Ju Hwan, Kim		8. Disposal/recovery facility (name, location, address): EG Metal Corporation 836 Hwangsung-Dong Namgu Ulsan, 680-160 Korea Tel: 82-52-267-1000 Fax: 82-52-269-9900 Registration N° where applicable: 610-86-02148 and limit of validity: Contact person: Nak il, Sung	
5. 1st Carrier (Name, Address): Jetco 5521 Harvey Wilson Drive, Houston, TX 77020 Registration N° (where applicable): Tel.: 1-713-676-1111 Fax: 1-713-676-1400		6. 2nd Carrier (Name, Address): Gateway logistics Group Inc. 18201 Viscount, Houston, TX 77032 Registration N° (where applicable): Tel.: 1-281-443-7447 Fax: 1-281-443-3051	7. 3rd Carrier (Name, Address): Se Bang Corporation 260 WoAm Dong, Nam Gu, Busan, Korea Registration N° (where applicable): Tel.: 82-51-646-1246 Fax: 82-51-740-6270
10. Identity of means of Transport: R Date of transfer: Signature of Carrier's Representative	11. Identity of means of Transport: S Date of transfer: Signature of Carrier's Representative	9. Code N° of disposal/recovery operations (2): R4, R8 and technology employed: Hydrometallurgical process	
13. Name and chemical composition of the waste: See Attachment C Spent Catalyst contains: Al2O3, V, Mo, Co, Ni, Cr, Zn, W, S			14. Physical characteristics (2): Solid
15. Waste identification code - in country of export/dispatch: US - in country of import/destination: KR International Waste Identification Code (IWIC): Q6/R4/S11/C2+4/H0/A220 European waste catalogue (EWC): Other (specify):			17. Actual quantity Kg litres
16. OECD classification (1): amber <input type="checkbox"/> red <input type="checkbox"/> and number: other*GC053x <input type="checkbox"/> *(attach details) B1120			18. Number of Packages:
19. UN identification number: 3190/3191 UN Class H4.2 and proper shipping name: Spent catalyst		20. Special handling instructions	
21. Actual date of shipment:		22. Notifier/exporter's declaration: I certify that the information in blocks 1 to 9 and 13 to 21 above is complete and correct to the best of my knowledge. I also certify that legally-enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantees are in force covering the transfrontier movement, and that* (i) all necessary consents have been received; or (ii) the shipment is directed at a recovery facility within the OECD area and no objection has been received from any of the concerned countries within the 30 day tacit consent period; or (iii) the shipment is directed at a recovery facility pre-authorised for that type of waste within the OECD area; such an authorisation has not been revoked, and no objection has been received from any of the concerned countries. Name: Ultra USA Inc. Signature: _____ Date: Jun 7, 2010	
TO BE COMPLETED BY CONSIGNEE/DISPOSAL/RE/RECOVERY FACILITY			
23. Shipment received by consignee on: (if not disposal/recovery facility) accepted <input type="checkbox"/> (1) rejected <input type="checkbox"/> Quantity received: _____ Kg _____ litres Date: _____ Name: _____ Signature: _____ *(immediately contact competent authorities)		25. I certify that the disposal/recovery of the waste described above has been completed* Date: _____ Name: _____ Signature: _____	
24. Shipment received at disposal/recovery facility on: accepted <input type="checkbox"/> (1) rejected <input type="checkbox"/> Quantity received: _____ Kg _____ litres Date: _____ Name: _____ Signature: _____ Disposal/recovery to be completed by: Method of disposal/recovery: *(immediately contact competent authorities)		*(not required by the OECD control system)	

List of abbreviations used in the movement/tracking form

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| 4. Sludgy | |

NOTE: Disposal ("D") operations are not relevant to the OECD Control System

OECD COUNTRY CODES (Block 26-27-28)

Australia:	AU	Finland:	FI	Ireland:	IE	Netherlands:	NL	Sweden:	SE
Austria:	AT	France:	FR	Italy:	IT	New Zealand:	NZ	Switzerland:	CH
Belgium:	BE	Germany:	DE	Japan:	JP	Norway:	NO	Turkey:	TR
Canada:	CA	Greece:	GR	Luxembourg:	LU	Portugal:	PT	United Kingdom:	GB
Denmark:	DK	Iceland:	IS	Mexico:	MX	Spain:	ES	United States:	US

For the other countries ISO Standard 3166 abbreviations shall be used

FOR USE OF CUSTOMS OFFICES*															
26. COUNTRY OF EXPORT/DISPATCH OR (FOR EC) CUSTOMS OFFICE OF EXIT The waste described overleaf has left the country/Community on: Stamp: Signature:	27. STAMPS OF CUSTOM OFFICES OF TRANSIT COUNTRIES <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">Name of Country (2):</th> <th colspan="2" style="text-align: center;">Name of Country (2):</th> </tr> <tr> <td style="text-align: center; width: 50%;">Entry</td> <td style="text-align: center; width: 50%;">Departure</td> <td style="text-align: center; width: 50%;">Entry</td> <td style="text-align: center; width: 50%;">Departure</td> </tr> <tr> <td style="height: 100px;"></td> <td></td> <td></td> <td></td> </tr> </table>			Name of Country (2):		Name of Country (2):		Entry	Departure	Entry	Departure				
Name of Country (2):		Name of Country (2):													
Entry	Departure	Entry	Departure												
28. COUNTRY OF IMPORT/DESTINATION The waste described overleaf has ENTERED the country on: Stamp: Signature:	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">Name of Country (2):</th> <th colspan="2" style="text-align: center;">Name of Country (2):</th> </tr> <tr> <td style="text-align: center;">Entry</td> <td style="text-align: center;">Departure</td> <td style="text-align: center;">Entry</td> <td style="text-align: center;">Departure</td> </tr> <tr> <td style="height: 100px;"></td> <td></td> <td></td> <td></td> </tr> </table>			Name of Country (2):		Name of Country (2):		Entry	Departure	Entry	Departure				
Name of Country (2):		Name of Country (2):													
Entry	Departure	Entry	Departure												

(2) See country codes above. *Not required by OECD control system

THE INTERNATIONAL WASTE IDENTIFICATION CODE (IWIC - BLOCK 15), THE OECD CLASSIFICATION LISTS OF WASTES DESTINED FOR RECOVERY OPERATIONS (AMBER, RED - BLOCK 16 AND THE CATEGORIES OF WASTES SUBJECT TO CONTROL, AS WELL AS MORE DETAILED INSTRUCTIONS CAN BE FOUND IN A GUIDANCE MANUAL AVAILABLE FROM OECD